

EDELWEISS US TECHNOLOGY EQUITY FUND OF FUND

(An open ended fund of fund scheme investing in JPMorgan Funds – US Technology Fund)

KEY INFORMATION MEMORANDUM (KIM)

This Product is suitable for investors who are seeking*:

- Long Term Capital Growth
- Investments predominantly in JPMorgan Funds – US Technology Fund, an equity oriented fund which invests primarily in US technology companies with strong fundamentals

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



Offer of Units of Rs. 10/- each during the New Fund Offer Period and at NAV based prices upon re-opening.

NEW FUND OFFER OPENS ON: FEBRUARY 14, 2020

NEW FUND OFFER CLOSURES ON: FEBRUARY 28, 2020

Scheme Re-opens for continuous sale and repurchase on or before March 16, 2020

INVESTORS SHOULD NOTE THAT:

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centers or distributors or from the website www.edelweissmf.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

JPMorgan Funds – US Technology Fund, is a fund in which the Edelweiss US Technology Equity Fund of Fund may invest. This document does not constitute an offer of the JPMorgan Funds – US Technology Fund in India. The information contained in this document and the opinions expressed herein have not been prepared by JPMorgan Asset Management (Singapore) Limited, the management company of the JPMorgan Funds – US Technology Fund or any of their affiliates ("JPMorgan"). JPMorgan neither endorses nor is responsible for the accuracy or reliability of, and under no circumstances will JPMorgan be liable for any loss or damage caused by reliance on, any opinion, advice or statement made in this document.

This KIM is dated January 31, 2020.

NAME OF MUTUAL FUND

Edelweiss Mutual Fund
801, 802 & 803, 8th Floor, Windsor,
Off C.S.T. Road, Kalina, Santacruz (E),
Mumbai 400098, Maharashtra
www.edelweissmf.com

TRUSTEE:

Edelweiss Trusteeship Company Limited (CIN: U67100MH200PLC173779)
Registered Office: Edelweiss House,
Off. C.S.T Road, Kalina, Mumbai 400 098

Corporate Office:

801, 802 & 803, 8th Floor, Windsor,
Off C.S.T. Road, Kalina, Santacruz (E),
Mumbai 400098, Maharashtra

SPONSOR:

Edelweiss Financial Services Limited
Edelweiss House, Off. C.S.T Road,
Kalina, Mumbai - 400 098
www.edelweissfin.com

INVESTMENT MANAGER:

Edelweiss Asset Management Limited (CIN: U67100MH200PLC173779)
Registered Office: Edelweiss House,
Off. C.S.T Road, Kalina, Mumbai - 400098
www.edelweissmf.com

Corporate Office:

801, 802 & 803, 8th Floor, Windsor,
Off C.S.T. Road, Kalina, Santacruz (E),
Mumbai 400098, Maharashtra

REGISTRAR:

K Fin Technologies Pvt. Ltd.
Unit - Edelweiss Mutual Fund
Tower – B, Plot No 31 & 32, Selenium
Building Financial District,
Nanakramguda, Gachibowli, Hyderabad,
Telangana 500032

INVESTMENT OBJECTIVE	<p>The primary investment objective of the scheme is to seek to provide long term capital growth by investing predominantly in JPMorgan Funds – US Technology Funds, an equity fund which invests primarily in US technology companies with strong fundamentals.</p> <p>However, there is no assurance that the investment objective of the Scheme will be realized and the Scheme does not assure or guarantee any returns.</p>											
ASSET ALLOCATION PATTERN	<p>Under normal circumstances, it is anticipated that the asset allocation shall be as follows</p> <table border="1" data-bbox="411 465 1410 900"> <thead> <tr> <th data-bbox="411 465 746 577">Investments</th> <th data-bbox="746 465 1078 577">Indicative Allocation (% to net assets)</th> <th data-bbox="1078 465 1410 577">Risk Profile</th> </tr> </thead> <tbody> <tr> <td data-bbox="411 577 746 719">Shares of the underlying Fund i.e. JPMorgan Funds – US Technology Fund*</td> <td data-bbox="746 577 1078 719">95% to 100%</td> <td data-bbox="1078 577 1410 719">High</td> </tr> <tr> <td data-bbox="411 719 746 900">Money Market Instruments, cash and cash equivalent and/or units of Liquid scheme</td> <td data-bbox="746 719 1078 900">0% to 5%</td> <td data-bbox="1078 719 1410 900">Low to Medium</td> </tr> </tbody> </table> <p>*Investors are requested to note that the Shares of Underlying Fund should be considered conceptually similar to the units of a fund.</p> <p>The Scheme shall not invest in derivatives, securitized debt and shall not engage in stock lending.</p> <p>The Scheme shall make investments in foreign securities as per clause 2(x) of SEBI Circular no. SEBI/IMD/CIR No.7/104753/07 dated 26 September, 2007.</p> <p>Cash will be maintained for purposes of Redemptions and day-to-day expenses of the Scheme.</p> <p>Under normal market conditions, the corpus of the Scheme shall be invested in shares of JPMorgan Funds – US Technology Fund as per the asset allocation detailed above. However, prevailing market conditions may compel the AMC to invest beyond the range set out above. Such deviations shall however normally be for short term purposes, for temporary defensive considerations and with the intention of protecting the interests of the Unit Holders. In the event of deviations, rebalancing will be carried out within 30 (thirty) days.</p> <p>The Underlying Fund can invest in Securities listed in India. The Underlying Fund can invest in Securities of Indian companies listed outside India. For e.g. - If Securities of company A are listed in India and also on a stock exchange outside India, then the Fund Manager can buy the securities of company A from the stock exchange which is located outside India.</p> <p>The Underlying Fund shall not invest in unlisted securities issued by companies registered in India.</p> <p>The Underlying Fund shall invest in derivatives and engage in stock lending, however it does not invest in securitized debt and does not engage in short selling.</p> <p>The exposure of the Underlying Fund in India will not be gained through participatory notes.</p> <p>The exposure and limit is further subject to SEBI guidelines issued from time to time.</p>			Investments	Indicative Allocation (% to net assets)	Risk Profile	Shares of the underlying Fund i.e. JPMorgan Funds – US Technology Fund*	95% to 100%	High	Money Market Instruments, cash and cash equivalent and/or units of Liquid scheme	0% to 5%	Low to Medium
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Shares of the underlying Fund i.e. JPMorgan Funds – US Technology Fund*	95% to 100%	High										
Money Market Instruments, cash and cash equivalent and/or units of Liquid scheme	0% to 5%	Low to Medium										

<p>RISK PROFILE OF THE SCHEME</p>	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.</p> <p>Investment in this Scheme will involve certain Scheme specific Risk Factors apart from the General Risk mentioned in SAI/SID which are summarized below:</p> <p>a) Risk Factors of a Fund of Fund Scheme:</p> <ul style="list-style-type: none"> * The Scheme’s performance will predominantly depend upon the performance of the corresponding Underlying Fund. * Any change in the investment policy or the fundamental attributes of the Underlying Fund in which the Scheme invests may affect the performance of the Scheme. * Investments in the Underlying Fund, which is an equity fund, will have all the risks associated with investments in equity and the offshore markets. * The portfolio disclosure of the Scheme will be largely limited to the particulars of the relevant Underlying Fund and investments by the Scheme in money market instruments. Therefore, Unit Holders may not be able to obtain specific details of the Scheme in respect of the Underlying Fund’s portfolio. * In addition to the recurring expenses of the Scheme, the Unit Holders shall also bear the applicable expenses of the Underlying Fund. Therefore, the returns that the Unit Holder of the Scheme may receive shall be substantially impacted or may, at times, be lower than the returns that a Unit Holder, who is directly investing in the same Underlying Fund, could obtain. <p>b) Risks associated with investing in Overseas Mutual Fund Units:</p> <ul style="list-style-type: none"> * Within the investment objective of the Scheme, the Scheme will be investing in the overseas markets which carry risks related to fluctuations in the foreign exchange rates, the nature of the securities market of the country, restrictions on repatriation of capital due to exchange controls and the political environment. Further the repatriation of capital to India may also be hampered by changes in regulations concerning exchange controls or political circumstances. The Scheme’s NAV may also be affected by a fluctuation in the general and specific level of interest rates internationally, or the change in the credit profiles of the issuer. * The liquidity of this scheme will be affected if there is a non business day of the underlying fund. This scheme shall have non-business days on all the days on which the underlying scheme has non-business days. * In case of unforeseen events like system breakdown, natural calamities etc. delaying the NAV of the underlying scheme, the NAV of this scheme may also be delayed and the AMC shall suitably intimate AMFI / SEBI in this regard. * In addition, country risks would include events such as introduction of extraordinary exchange controls, economic deterioration, bi-lateral conflict leading to immobilisation of overseas financial assets and the prevalent tax laws of the respective jurisdictions for the execution of trades or otherwise. * Subject to the other terms of the SID, all applicants applying for up to 50,000 (Fifty Thousand) Units shall be given their full allotment. However, keeping in mind the investment restrictions in foreign Securities currently applicable to mutual funds vide SEBI’s Circulars SEBI/IMD/CIR No. 7/104753/07 dated 26 September 2007 and SEBI/IMD/CIR No. 2/1222577/08 dated 8 April 2008, if the overall limit for the Mutual Fund in overseas investments of up to USD 300 million has been reached, applicants will receive a pro-rata allotment as calculated by the AMC. In such an event, applicant’s money relating to the unused portion of the investor’s original allotment request may be refunded to investors, subject to SEBI Regulations and approvals.
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The process for monitoring the USD 300 million limit for overseas investments shall be as follows:

- The cap of USD 300 million will be monitored and enforced at the Mutual Fund level and not at the Scheme level.
- Once 90% of the limit is reached, investors and empaneled distributors will be informed that further sales of Units will be suspended when the Mutual Fund's combined AUM in overseas schemes reaches the cap of USD 300 million. A notice will be issued to ISC offices and AMC branches and published on the website of the AMC (www.edelweissmf.com).
- Any allotments upon, or subsequent to, the USD 300 million cap being breached will be performed on a pro-rata basis only and subject to the combined AUM level of overseas investments of the Mutual Fund being below this cap.

Examples

- i) Day T (opening AUM) - USD 270 million is the overseas AUM of the mutual fund, incoming cash flows on Day T - USD 30 million.
 - Allotment for the entire amount will occur.
- ii) Day T (opening AUM) - USD 270 million is the overseas AUM of the mutual fund, incoming cash flows on Day T - USD 60 million
 - Allotment only for USD 30 million on a pro rata basis will occur.
 - Therefore, on Day T, a notice will be sent to all ISC offices & AMC branches and published on the website of the AMC (www.edelweissmf.com) stating that further sales are suspended with immediate effect, in the event an additional FUND overseas fund quota from SEBI has not been obtained.
 - If the cap of USD 300 million is reached, refunds would be settled on a T+3 basis.
 - The above process will not have any impact on the redemption process.

c) SCHEME SPECIFIC RISK FACTORS:

Investing in Equities - Equity Securities and equity-related Securities are volatile and prone to price fluctuations on a daily basis. The liquidity of investments made by an Underlying Fund may be restricted by trading volumes and settlement periods. This may impact the ability of the Unit Holders to redeem their Units. In certain circumstances outlined in ['Restrictions on Redemptions']. Settlement periods may be extended significantly by unforeseen circumstances. The inability of an Underlying Fund to make intended Securities purchases, due to settlement problems, could cause the Underlying Fund to miss certain investment opportunities. Similarly, the inability to sell Securities held in an Underlying Fund's portfolio could result, at times, in potential losses to the Scheme, should there be a subsequent decline in the value of Securities held in the Underlying Fund's portfolio. The liquidity and valuation of an Underlying Fund's investments due to its holdings of unlisted Securities may be affected if they have to be sold prior to the target date for divestment. All investments involve risks and there can be no guarantee against loss resulting from an investment in any share of the Underlying Fund, nor can there be any assurance that the Underlying Fund's investment objective will be attained in respect of its overall performance. In certain circumstances the right of the investors of the Underlying Fund may be suspended.

Political and Economic Risks

- Economic and/or political instability could lead to legal, fiscal and regulatory changes or the reversal of legal/fiscal/regulatory/market reforms. Assets could

be compulsorily re-acquired without adequate compensation.

- Administrative risks may result in the imposition of restrictions on the free movement of capital.
- A country's external debt position could lead to sudden imposition of taxes or exchange controls.
- High interest and inflation rates can mean that businesses have difficulty in obtaining working capital.
- Local management may be inexperienced in operating companies in free market conditions.
- A country may be heavily dependent on its commodity and natural resource exports and is therefore vulnerable to weaknesses in world prices for these products.

Accounting Practices

- The accounting, auditing and financial reporting system may not accord with international standards.
- Even when reports have been brought into line with international standards, they may not always contain correct information.
- Obligations on companies to publish financial information may also be limited.

Market and Settlement Risks

- Lack of liquidity may adversely affect the ease of disposal of assets. The absence of reliable pricing information in a particular security held by the Underlying Fund may make it difficult to assess reliably the market value of assets.
- The share register of the Underlying Fund may not be properly maintained and the ownership or interest may not be (or remain) fully protected.
- Registration of securities may be subject to delay and during the period of delay it may be difficult to prove beneficial ownership of the securities.
- The provision for custody of assets may be less developed than in other more mature markets and thus provides an additional level of risk for the Underlying Funds.
- Settlement procedures may be less developed and still be in physical as well as in dematerialised form.

Price Movement and Performance

- Factors affecting the value of securities in some markets cannot easily be determined.
- Investment in securities in some markets carries a high degree of risk and the value of such investments may decline or be reduced to zero.

Currency Risk

- The assets in which the Underlying Fund is invested and the income from the assets may be quoted in currencies which are different from the Underlying Fund's base currency. The performance of the Underlying Fund may therefore be affected by movements in the exchange rate between the currencies in which the assets are held and the Underlying Fund's base currency and hence there can be the prospect of additional loss or gain for the Unit Holder than what may be normally derived from the assets in which the Underlying Fund invests. The performance of the Underlying Fund may also be affected by changes in exchange control regulations.
- Conversion into foreign currency or transfer from some markets of proceeds received from the sale of securities cannot be guaranteed.

- Exchange rate fluctuations may also occur between the trade date for a transaction and the date on which the currency is acquired to meet settlement obligations.
- Movements in currency exchange rates can adversely affect the return of your investment. The currency hedging that may be used to minimise the effect of currency fluctuations may not always be successful.

Risks Associated with investing in Money Market Instruments

- Investments in money market instruments would involve a moderate credit risk i.e. risk of an issuer's inability to meet the interest and principal payments.
- Money market instruments may also be subject to price volatility due to factors such as changes in interest rates, general level of market liquidity and market perception of creditworthiness of the issuer of such instruments.
- The NAV of the Units, to the extent that the corpus of the Scheme is invested in money market instruments, will be affected by the changes in the level of interest rates. When interest rates in the market rise, the value of a portfolio of money market instruments can be expected to decline.

Risks associated with exposure in Tri-party Repo

Risk of exposure in the Tri-party Repo settlement Segment provided by CCIL emanates mainly on two counts –

- a. Risk of failure by a lender to meet its obligations to make funds available or by a borrower to accept funds by providing adequate security at the settlement of the original trade of lending and borrowing under Tri-party Repo transaction.
- b. Risk of default by a borrower in repayment.

Risk Associated with Repo transactions in Corporate Debt

- **Lending transactions:**

The scheme may be exposed to counter party risk in case of repo lending transactions in the event of the counterparty failing to honour the repurchase agreement. However, in repo lending transactions, the collateral may be sold and a loss is realized only if the sale price is less than the repo amount. The risk may be further mitigated through over-collateralization (the value of the collateral being more than the repo amount). Further, the liquidation of underlying securities in case of counterparty default would depend on liquidity of the securities and market conditions at that time. It is endeavoured to mitigate the risk by following an appropriate counterparty selection process, which include their credit profile evaluation and over-collateralization to cushion the impact of market risk on sale of underlying security.

- **Borrowing transactions:**

In the event of the scheme being unable to pay back the money to the counterparty as contracted, the counter party may dispose of the assets (as they have sufficient margin). This risk is normally mitigated by better cash flow planning to take care of such repayments. Further, there is also a Credit Risk that the Counterparty may fail to return the security or Interest received on due date. It is endeavoured to mitigate the risk by following an appropriate counterparty selection process, which include their credit profile evaluation.

Risks Associated with Short Selling

- Scheme may enter into short selling transactions, subject to SEBI and RBI regulations in the matter. This will be done if the fund management team is of

	<p>the view that there exists an opportunity to make trading gains. Calls for short selling will be taken after considering the liquidity, price movement & volatility of the security by the fund management team. There can be a loss in such a transaction if the price of the security goes up instead of falling down.</p> <p>Other risks associated with the Underlying Fund</p> <ul style="list-style-type: none"> • The value of equity securities may go down as well as up in response to the performance of individual companies and general market conditions. • The single market in which the Underlying Fund invests may be subject to particular political and economic risks, and as a result, the Underlying Fund may be more volatile than more broadly diversified funds. • The Underlying Fund may have greater volatility compared to broader market indices as a result of the Underlying Fund’s focus on value securities. • Underlying Fund is a value investment style equity fund designed to give exposure to value companies in the US. Because value stocks tend to outperform at different times to growth stocks, investors should be prepared for periods of underperformance, although research shows that over the long-term both investment styles have outperformed. Therefore, the Underlying Fund can be used both to provide a value tilt to an existing diversified portfolio or as investment in its own right. • The investment objective of the Underlying Fund may state that the Underlying Fund may invest on a limited basis in areas which are not naturally associated with the name of the Underlying Fund. These other markets and/or assets may act with more or less volatility than the core investments and performance will, in part, be dependent on these investments. All investments involve risks and there can be no guarantee against loss resulting from an investment in any share of the Underlying Fund, nor can there be any assurance that a Underlying Fund’s investment objectives will be attained in respect of its overall performance. In certain circumstances the right of the investors of the Underlying Fund may be suspended. <p><i>For additional risk factors of the Underlying Fund, investors are requested to refer to the offering document or the website (www.jpmorganassetmanagement.lu) of the Underlying Fund.</i></p> <p>For further details please refer SAI.</p>
<p>PLANS & OPTIONS / FACILITIES</p>	<p>The Scheme offers two Plans:</p> <ol style="list-style-type: none"> 1. Edelweiss US Technology Equity Fund of Fund - Direct Plan ; and 2. Edelweiss US Technology Equity Fund of Fund - Regular Plan <p>Direct Plan is only for investors who purchase /subscribe Units of the Scheme directly with the Fund and is not available for investors who route their investments through a Distributor.</p> <p>The portfolio of the Scheme under these Plans will be common.</p> <p>Each Plan will offer only Growth Option.</p>

Details pertaining to Default Plan is as follows:

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not Mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

Default Option: If the investor does not clearly specify the choice of Option at the time of investing, it will be deemed that the investor has opted for Growth Option.

APPLICABLE NAV (after the Scheme opens for repurchase and sale)

Operation	Cut off time	Applicable NAV
Valid Purchase applications of less than 2 lacs received on a Business Day	Upto 3.00 P.M.	The closing NAV of the day of receipt of valid application
	After 3.00 P.M.	The closing NAV of the Next Business Day of receipt of valid application
Valid Purchase applications of 2 lacs or more received on a Business Day	Upto 3.00 P.M.	The closing NAV of the Business day on which funds are available for utilization before cutoff
	After 3.00 P.M.	
Valid Redemption applications received on a Business Day	Upto 3.00 P.M.	The closing NAV of the day of receipt of valid application
	After 3.00 P.M.	The closing NAV of the Next Business Day of receipt of valid application

Note: Investors are requested to read the “Business Day” definition given in SID.

With respect to investors who transact through the stock exchange, Applicable NAV shall be reckoned on the basis of the time stamping as evidenced by confirmation slip given by stock exchange mechanism.

	Note: Valid applications for 'switch-out' shall be treated as applications for redemption and valid applications for 'switch-in' shall be treated as applications for Purchase, and the provisions of the Applicable NAV and cut-off time as mentioned above shall be applied respectively to the 'switch-out' and 'switch-in' applications.		
MINIMUM APPLICATION AMOUNT [PURCHASE / ADDITIONAL PURCHASE / REPURCHASE (REDEMPTION)] /NO. OF UNITS	Minimum Purchase Amount	Additional Purchase Amount	Repurchase (Redemption) Amount/Unit
	Rs. 5,000/- and in multiples of Re. 1/- thereafter.	Minimum of Rs. 500/- and in multiples of Re. 1/-thereafter.	Rs. 500 and in multiples of Re. 1 thereafter. There will be no minimum redemption criterion for Unit based redemption. The Redemption / Switch-out would be permitted to the extent of credit balance in the Unit holder's account of the Plan(s) / Option(s) of the Scheme(s) (subject to release of pledge / lien or other encumbrances).
DESPATCH OF REPURCHASE (REDEMPTION) REQUEST	Within 10 business days of the receipt of valid redemption request at the official points of acceptance of Edelweiss Mutual Fund		
BENCHMARK INDEX	Russel 1000 Equal Weighted Technology Index The Fund reserves the right to change the benchmark for evaluation of the performance of the Scheme from time to time, subject to SEBI Regulations and other prevailing guidelines, if any.		
Dividend Policy	The Scheme does not have a Dividend option.		
FUND MANAGER	Mr. Bhavesh Jain and Mr. Hardik Varma (Managing the Scheme since inception of the Scheme)		
NAME OF THE TRUSTEE COMPANY	Edelweiss Trusteeship Company Limited		
PERFORMANCE OF THE SCHEME	The Scheme is a new Scheme and does not have any performance track record.		
EXPENSES OF THE SCHEME	(i) Load Structure The Load Structure would comprise of an Entry Load and /or an Exit Load, as may be permissible under the Regulations.		
	Type of Load	Load chargeable (as %age of NAV)	
	Entry*	Nil	
Exit **	If the Units are redeemed / switched out on or before 365 days from the date of allotment – 1.00% If the Units are redeemed / switched out after 365 days from the date of allotment – Nil		

*No entry load will be charged for purchase / switch-in transaction(s) accepted by the Scheme. The upfront commission shall be paid by the investor directly to the ARN Holder based on the investor's assessment of various factors including service rendered by the ARN Holder.

**The entire exit load (net of Goods & Service Tax), charged, if any, shall be credited to the Scheme.

AMC reserves the right to revise the load structure from time to time. Such changes will become effective prospectively from the date such changes are incorporated.

For any change in load structure AMC will issue an addendum and display it on the website/Investor Service Centres.

The investor is requested to check the prevailing load structure of the Scheme under respective Scheme(s), before investing.

(ii) Recurring expenses

The total expense ratio of the Scheme (including investment management and Advisory Fees) shall not exceed 2.25% of the daily net assets as stated in Regulation 52(6)(b) of SEBI (MF) Regulations. Provided that the total expense ratio to be charged over and above the weighted average of the total expense ratio of the underlying scheme shall not exceed two times the weighted average of the total expense ratio levied by the underlying scheme.

The aforesaid expenses are fungible within the overall maximum limit prescribed under SEBI Regulations. This means that mutual fund can charge expenses within overall limits, without any internal cap on the aforesaid expenses head.

Additional Expenses under Regulation 52 (6A):

1. The AMC may charge additional expenses, incurred towards different heads mentioned under regulations 52(2) and 52(4), not exceeding 0.05 per cent of daily net assets of the Scheme. However, such additional expenses will not be charged if exit load is not levied/ not applicable to the Scheme.

2. To improve the geographical reach of the Fund in smaller cities/towns as may be specified by SEBI from time to time, expenses not exceeding of 0.30 % p.a. of daily net assets, if the new inflows from retail investors[^] from such cities (i.e. beyond Top 30 cities*) are at least:

(i) 30 % of gross new inflows in the Scheme, or;

(ii) 15 % of the average assets under management (year to date) of the Scheme, whichever is higher.

In case the inflows from beyond Top 30 cities is less than the higher of (i) or (ii) above, such additional expenses on daily net assets of the Scheme shall be charged on proportionate basis. The expenses so charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities.

Further, the additional expense charged on account of new inflows from beyond Top 30 cities shall be credited back to the Scheme, in case the said inflows are redeemed within a period of 1 year from the date of investment.

[^]As per SEBI circular dated March 25, 2019, inflows of amount upto Rs 2,00,000/- per transaction, by individual investors shall be considered as inflows from "retail investor".

*The Top 30 cities shall mean top 30 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography – Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year.

3. Brokerage and transactions costs incurred for the purpose of execution of trades and are included in the cost of investments shall be charged to the Scheme in addition to the limits on total expenses prescribed under Regulation 52(6) and will not exceed 0.12% in case of cash market transactions and 0.05% in case of derivatives transactions.

As per SEBI Circular no. CIR/IMD/DF/24/2012 dated November 19, 2012, the

	<p>brokerage and transaction cost incurred for the purpose of execution of trade may be capitalized to the extent of 0.12% for cash market transactions.</p> <p>Any payment towards brokerage and transaction cost, over and above the said 0.12% for cash market transactions may be charged to the scheme within the maximum limit of TER as prescribed under Regulation 52 (6) of the SEBI (MF) Regulations. Any expenditure in excess of the said prescribed limit shall be borne by the AMC/Trustees.</p> <p>The Scheme shall not incur any distribution expenses and no commission shall be paid by this Scheme.</p> <p>Goods & Service Tax:</p> <p>In addition to the expenses under Regulation 52 (6) and (6A), AMC shall charge Goods & Service Tax as below:</p> <ol style="list-style-type: none"> 1. Goods & Service Tax on investment and advisory fees will be charged to the Scheme in addition to the maximum limit of TER as prescribed in Regulation 52 (6). 2. Goods & Service Tax on other than investment and advisory fees, if any, will be borne by the Scheme within the maximum limit of TER as prescribed in Regulation 52 (6). 3. Goods & Service Tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under Regulation 52. 4. Goods & Service Tax on exit load, if any, shall be paid out of the exit load proceeds and exit load net of Goods & Service Tax, if any, shall be credited to the Scheme <p>The AMC may incur expenses on behalf of the Mutual Fund which can be reimbursed on actual basis to the AMC to the extent such expenses are permissible & are within the prescribed SEBI limit.</p> <p>For the actual current expenses being charged, the investor may refer to the website of the mutual fund.</p> <p>For more details please refer point B “Annual Scheme Recurring Expenses” under the section “Fees and Expenses” in the SID.</p>
WAIVER OF LOAD FOR DIRECT APPLICATIONS	Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.
TAX TREATMENT FOR THE INVESTORS (UNITHOLDERS)	Investors are advised to refer to the details in the SID and Statement of Additional Information and also independently refer to his tax advisor.
DAILY NET ASSET VALUE (NAV) PUBLICATION	<p>The NAVs will be calculated on every Business Day and disclosed by 10.00 a.m. on the next Business Day on AMFI website (http://www.amfiindia.com) and under a separate head on Edelweiss Mutual Fund’s website (http://www.edelweissmf.com). In case of any delay, the reason for such delay would be reported to AMFI and the Fund shall issue a press release providing reasons and explaining when the Fund would be able to publish the NAVs.</p> <p>The information on NAV of the scheme may be obtained by the unit holders, on any day by calling the office of the AMC or any of the ISCs at various locations. Investors may also write to the AMC for availing facility of receiving the latest NAVs through SMS.</p>

FOR INVESTOR GRIEVANCES PLEASE CONTACT					
	<table border="1"> <tr> <th data-bbox="399 145 909 212">Name and Address of Registrar</th> <th data-bbox="909 145 1410 212">Name and Address of Corporate office of Edelweiss Asset Management Ltd.</th> </tr> <tr> <td data-bbox="399 212 909 683"> KFin Technologies Private Limited Unit - Edelweiss Mutual Fund, Karvy Selenium Tower B, Plot No 31 & 32, Gachibowli, Financial, District, Nanakramguda, Serilingampally, Hyderabad – 500 008, Tel: 040-67161500 </td> <td data-bbox="909 212 1410 683"> 801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400 098. Maharashtra. Tel. No.: (022) 4093 3400 / 4097 9821, Fax No.: (022) 4093 3401 / 4093 3402 / 4093 3403 Customer Service Center: Toll Free Number : 1800 425 0090 Non Toll Free Number: +91 40 23001181 (For non MTNL/BSNL land line, mobile users and investors outside India.) </td> </tr> </table>	Name and Address of Registrar	Name and Address of Corporate office of Edelweiss Asset Management Ltd.	KFin Technologies Private Limited Unit - Edelweiss Mutual Fund, Karvy Selenium Tower B, Plot No 31 & 32, Gachibowli, Financial, District, Nanakramguda, Serilingampally, Hyderabad – 500 008, Tel: 040-67161500	801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400 098. Maharashtra. Tel. No.: (022) 4093 3400 / 4097 9821, Fax No.: (022) 4093 3401 / 4093 3402 / 4093 3403 Customer Service Center: Toll Free Number : 1800 425 0090 Non Toll Free Number: +91 40 23001181 (For non MTNL/BSNL land line, mobile users and investors outside India.)
Name and Address of Registrar	Name and Address of Corporate office of Edelweiss Asset Management Ltd.				
KFin Technologies Private Limited Unit - Edelweiss Mutual Fund, Karvy Selenium Tower B, Plot No 31 & 32, Gachibowli, Financial, District, Nanakramguda, Serilingampally, Hyderabad – 500 008, Tel: 040-67161500	801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400 098. Maharashtra. Tel. No.: (022) 4093 3400 / 4097 9821, Fax No.: (022) 4093 3401 / 4093 3402 / 4093 3403 Customer Service Center: Toll Free Number : 1800 425 0090 Non Toll Free Number: +91 40 23001181 (For non MTNL/BSNL land line, mobile users and investors outside India.)				
UNITHOLDERS' INFORMATION	<p data-bbox="399 862 1410 884">Consolidated Account Statements</p> <ul data-bbox="399 884 1410 2016" style="list-style-type: none"> <li data-bbox="399 884 1410 1075">• The AMC/Mutual Fund will send to the investor whose application for Purchase/Redemption has been accepted, a confirmation specifying the number of units allotted/redeemed by way of email and/or text message within 5 Business Days from the date of allotment/redemption to the investor's registered e-mail address and/or mobile number. <li data-bbox="399 1075 1410 1288">• A consolidated account statement (CAS) for each calendar month on or before 10th of the succeeding month shall be sent by email (wherever investor has provided email id) or physical account statement where investor has not provided email id., across the schemes of the mutual funds, to all the investors in whose folio(s) transaction(s) has/have taken place during the month. <li data-bbox="399 1288 1410 1355">• For the purpose of sending CAS, common investors across mutual funds shall be identified by their Permanent Account Number (PAN). <li data-bbox="399 1355 1410 1467">• In case of a specific request received from the investors, the AMC/Mutual Fund will provide the physical account statement to the investors within 5 Business Days from the receipt of such request. <li data-bbox="399 1467 1410 1545">• In the event a folio has more than one registered holder, the first named Unit holder will receive the CAS/account statement. <li data-bbox="399 1545 1410 1657">• CAS will not be sent to the Unit holders in respect of the folio(s) where the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with the PAN details. <li data-bbox="399 1657 1410 1836">• In case of investors in whose folios no transaction has taken place during any half yearly period ended September/March, a Consolidated Account Statement for such a half yearly period will be issued, on or before tenth day of succeeding month, detailing the holding at the end of the respective six month period across all Schemes of all mutual funds. <li data-bbox="399 1836 1410 2016">• It may be noted that for investors whose e-mail addresses are available and registered across any of the Mutual Fund/AMC's, the CAS shall be sent by way of an email communication only on any/all of the registered email addresses. Investors are requested to maintain uniform email Ids across schemes of all Mutual Funds. <p data-bbox="399 716 1410 828">For any grievance with respect to transactions through BSE and/or NSE, the investors/Unit holders should approach either their stock broker or the investor grievance cell of the respective stock exchange.</p>				

- For investors holding units in dematerialized mode, provision of CAS shall not be applicable. The statement of holding of the beneficiary account holder for units held in demat will be sent by the respective DPs periodically.

For more details, please refer Scheme Information Document (SID) and Statement of Additional Information (SAI).

Annual Financial Results:

The Annual report or Abridged summary thereof in the format prescribed by SEBI will be hosted on AMC's website (www.edelweissmf.com) and on the website of AMFI (www.amfiindia.com). The Annual Report or Abridged Summary thereof will also be sent by way of e-mail to the Unit holder's registered e-mail address. Unit holders, who have not registered their email id, will have an option of receiving a physical copy of the Annual Report or Abridged summary thereof. The Fund will provide a physical copy of the abridged summary of the Annual Report, without charging any cost, on specific request received from a Unit holder. Physical copies of the report will also be available to the Unit holders at the registered office at all times. The Fund will publish an advertisement every year, in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the scheme wise annual report on the AMC's website (www.edelweissmf.com) and on the website of AMFI (www.amfiindia.com) and the modes such as SMS, telephone, email or written request (letter) through which a unitholder can submit a request for a physical or electronic copy of the of the scheme wise annual report or abridged summary thereof.

Portfolio Disclosure:

The AMC will disclose portfolios (along with ISIN) in user friendly and downloadable spreadsheet format, as on the last day of the month/half year for all their schemes on its website (www.edelweissmf.com) and on the website of AMFI www.amfiindia.com within 10 days from the close of each month/half year. In case of unitholders whose email addresses are registered, the AMC will send via email both the monthly and half yearly statement of scheme portfolio within 10 days from the close of each month /half year respectively.

The AMC will publish an advertisement every half-year, in the all India edition of at least two daily newspapers, one each in English and Hindi, disclosing the hosting of the half yearly statement of the schemes portfolio on the AMC's website (www.edelweissmf.com) and on the website of AMFI (www.amfiindia.com) and the modes such as SMS, telephone, email or written request (letter) through which a unitholder can submit a request for a physical or electronic copy of the statement of scheme portfolio. The AMC will provide physical copy of the statement of scheme portfolio without any cost, on specific request received from a unitholder.

Half Yearly Unaudited Financial Results:

The Fund/AMC shall within one month from the close of each half year, i.e. on 31 March and on 30 September, host a soft copy of its unaudited financial results on their website www.edelweissmf.com. Such half-yearly unaudited financial results shall contain details as specified in Twelfth Schedule of SEBI Regulations and such other details as are necessary for the purpose of providing a true and fair view of the operations of the Fund.

The Fund/AMC shall publish an advertisement disclosing the hosting of such unaudited financial results on their website, in at least one English daily newspaper having nationwide circulation and in a newspaper having wide

	circulation published in the language of the region where the Head Office of the Fund is situated.
TRANSACTION CHARGE IN RESPECT OF APPLICATIONS ROUTED THROUGH DISTRIBUTORS / BROKERS	<p>In accordance with SEBI circular no. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011, the AMC will deduct Transaction Charges on purchase/subscription of Rs.10,000/- and above made through a valid ARN Holder i.e. AMFI Registered distributors/intermediaries, provided such distributor has opted to receive the Transaction Charges. Such Transaction Charges collected by the AMC will be paid to the ARN Holder in the following manner:</p> <p>(i) For the First Time Investor in Mutual Funds (across all mutual funds): Transaction Charge of Rs. 150/- for subscription of Rs. 10,000/- and above will be deducted from the subscription amount and paid to the distributor/agent of such First Time Investor and the balance amount will be invested.</p> <p>(ii) For Investor other than First Time Mutual Fund Investor (existing investors in any mutual fund): Transaction Charge of Rs. 100/- per subscription of Rs.10,000/- and above will be deducted from the subscription amount and paid to the distributor/agent of the investor and the balance amount will be invested.</p> <p>(iii) No Transaction Charges shall be deducted:</p> <p>(a) where the ARN Holder/distributor of the investor has not opted to receive any Transaction Charges;</p> <p>(b) for purchases/subscriptions of an amount less than Rs. 10,000/-;</p> <p>(c) for transactions other than purchases/ subscriptions relating to new inflows such as Switches etc.</p> <p>(d) for purchases/subscriptions made directly with the Mutual Fund (i.e. not through any distributor).</p> <p>(e) for purchase/subscription routed through the Stock Exchange Platform In accordance with SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, distributors shall also have an option either to opt in or opt out of levying transaction charge based on type of the product.</p> <p>Identification of investors as "first time" or "existing" will be based on Permanent Account Number (PAN) at the First/Sole Applicant/ Guardian level. Hence, Unit holders are urged to ensure that their PAN / KYC is updated with the Fund. Unit holders may approach any of the Official Points of Acceptances of the Fund in this regard.</p> <p>The Account Statement / Consolidated Account Statement sent to the Unit holders shall clearly state the net investments as gross subscription less Transaction Charges and shall also show the number of units allotted against the net investments.</p>
TOP 10 HOLDINGS AND SECTOR ALLOCATION	Not applicable since the Scheme is a new Scheme.
PORTFOLIO TURNOVER RATIO	Not applicable since the Scheme is a new Scheme.

Comparison of Off Shore Schemes

Name of the existing scheme	Investment Objective	Asset Allocation Pattern	Investment Strategy	Differentiation	Benchmark	AUM (in crs.) for the month of December 31, 2019	No. of Folios as on December 31, 2019
Edelweiss Greater China Equity Off-shore Fund	The primary investment objective of the Scheme is to provide long term capital appreciation by investing in JPMorgan Funds - Greater China Fund, an equity fund which invests primarily in a diversified portfolio of companies that are domiciled in, or carrying out the main part of their economic activity in, a country of Greater China region. However, there can be no assurance that the investment objective of the Scheme will be realised.	Units / shares of JPMorgan Funds - Greater China Fund normal allocation of net assets 95- 100%; Money market instruments and / or units of liquid schemes normal allocation of net assets 0-5%.	The primary investment objective of the Scheme is to provide long term capital appreciation by investing in JPMorgan Funds - Greater China Fund, an equity fund which invests primarily in a diversified portfolio of companies that are domiciled in, or carrying out the main part of their economic activity in, a country of Greater China region. The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.	An open-ended fund of funds scheme aiming at a long term capital appreciation by investing in JPMorgan Funds - Greater China Fund.	MSCI Golden Dragon Index	131.10	5955
Edelweiss ASEAN Equity Off-Shore Fund	The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds – ASEAN Equity Fund,	Units / shares of JPMorgan Funds – ASEAN Equity Fund, 95% - 100%; Money market instruments and / or units of liquid schemes, 0% - 5%.	The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds	An open-ended fund of funds scheme aiming at long term capital growth by investing in JPMorgan Funds – ASEAN Equity Fund.	Morgan Stanley Capital International (MSCI) South East Asia Index	42.98	1460

Comparison of Off Shore Schemes

Name of the existing scheme	Investment Objective	Asset Allocation Pattern	Investment Strategy	Differentiation	Benchmark	AUM (in crs.) for the month of December 31, 2019	No. of Folios as on December 31, 2019
	<p>an equity fund which invests primarily in companies of countries which are members of the Association of South East Asian Nations (ASEAN). However, there can be no assurance that the investment Objective.</p>		<p>– ASEAN Equity Fund, an equity fund which invests primarily in companies of countries which are members of the Association of South East Asian Nations (ASEAN). The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.</p>				
<p>Edelweiss US Value Equity Offshore Fund</p>	<p>The primary investment objective of the Scheme is to seek to provide long term capital growth by investing predominantly in the JPMorgan Funds - US Value Fund, an equity fund which invests primarily in a value style biased portfolio of US companies. However, there can be no assurance that the investment objective of the Scheme will be realised.</p>	<p>Units / shares of JPMorgan Funds – US Value Equity Fund, 95% - 100%; Money market instruments and / or units of liquid schemes, 0% - 5%.</p>	<p>The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds – US Value Equity Fund, an equity fund which invests primarily in US companies. The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements</p>	<p>An open-ended fund of funds scheme aiming at long term capital growth by investing in JPMorgan Funds – US Value Equity Fund.</p>	<p>Russell 1000 Value Index</p>	<p>41.04</p>	<p>792</p>

Comparison of Off Shore Schemes							
Name of the existing scheme	Investment Objective	Asset Allocation Pattern	Investment Strategy	Differentiation	Benchmark	AUM (in crs.) for the month of December 31, 2019	No. of Folios as on December 31, 2019
			from time to time.				
Edelweiss Europe Dynamic Equity Offshore Fund	The primary investment objective of the Scheme is to seek to provide long term capital growth by investing predominantly in the JPMorgan Funds – Europe Dynamic Fund, an equity fund which invests primarily in an aggressively managed portfolio of European companies. However, there can be no assurance that the investment objective of the Scheme will be realised.	Units / shares of JPMorgan Funds –Europe Dynamic Equity Fund, 95% - 100%; Money market instruments and/ or units of liquid schemes, 0% - 5%.	The primary investment objective of the Scheme is to provide long term capital growth by investing predominantly in JPMorgan Funds – Europe Dynamic Equity Fund, an equity fund which invests primarily in European companies. The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.	An open-ended fund of funds scheme aiming at long term capital growth by investing in JPMorgan Funds – Europe Dynamic Equity Fund.	MSCI Europe Index	31.01	419
Edelweiss Emerging Markets Opportunities Equity Offshore Fund	The primary investment objective of the Scheme is to seek to provide long term capital growth by investing predominantly in the JPMorgan Funds – Emerging Markets Opportunities Fund, an equity fund which invests primarily in an aggressively managed portfolio	Shares of the Underlying Fund i.e. JPMorgan Funds – Emerging Markets Opportunities Fund:95% - 100%, Money market instruments, cash and cash equivalents and /or units of liquid schemes: 0% - 5%	The primary investment objective of the Scheme is to seek to provide long term capital growth by investing predominantly in JPMorgan Funds – Emerging Markets Opportunities Fund, an equity fund which invests primarily	An open ended fund of funds scheme aiming at long term capital growth by investing in JPMorgan Funds- Emerging Markets Opportunities Equity Fund	Morgan Stanley Capital International (MSCI) Emerging Markets Index	7.56	570

Comparison of Off Shore Schemes

Name of the existing scheme	Investment Objective	Asset Allocation Pattern	Investment Strategy	Differentiation	Benchmark	AUM (in crs.) for the month of December 31, 2019	No. of Folios as on December 31, 2019
	<p>of emerging market companies. However, there can be no assurance that the investment objective of the Scheme will be realised.</p>		<p>in an aggressively managed portfolio of emerging market companies. The Scheme may also invest a part of its corpus in money market instruments and / or units of liquid schemes to meet liquidity requirements from time to time.</p>				

NFO Form - Edelweiss US Technology Equity Fund of Fund

(An open ended fund of fund scheme investing in JPMorgan Funds – US Technology Fund)



Edelweiss
Ideas create, values protect

MUTUAL FUND

Start Date : 14th February, 2020
End Date : 28th February, 2020
Reopening Date : On or before 16th March, 2020

Please read Product Labelling available on front page and instructions before filing this form
(all points marked * are mandatory)

APPLICATION NO.	UTEF
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Sponsor: Edelweiss Financial Services Limited | **Trustee Company:** Edelweiss Trusteeship Company Limited | **Investment Manager:** Edelweiss Asset Management Limited
Edelweiss Mutual Fund, 801, 802 & 803, 8th Floor, Windsor, Off C.S.T. Road, Kalina, Santacruz (E), Mumbai 400098, Maharashtra.

PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS. Use this form If you are making a one time investment. For SIP investment use the separate SIP Form.

DISTRIBUTOR INFORMATION

Distributor Code	Sub-Broker Code	Sub-Broker Code INTERNAL CODE	Employee Unique IDENTIFICATION NO. (EUIN)	E-Code	RIA CODE^ ONLY FOR DIRECT INVESTMENT
ARN -	ARN -				

*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.

^I/We, have invested in the below mentioned scheme of Edelweiss Mutual Fund under the Direct Plan. I/We hereby give my/our consent to share/provide the transaction data feed / portfolio holdings / NAV etc. in respect of this particular transaction, to the SEBI Registered Investment Advisor (RIA) bearing the above mentioned registration number.

SIGNATURE (s)	SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT

TRANSACTION CHARGES (PLEASE ✓) (Default option Existing Investor) (Refer Instruction No.XIII)

I am a First Time Investor in Mutual Funds I am an Existing Investor in Mutual Funds

In case the subscription amount is ₹ 10,000/- or more and your Distributor has opted to receive Transaction Charges, ₹ 150 (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

EXISTING INVESTOR'S FOLIO NUMBER (If you have an existing folio with KYC validated, please mention here and skip to section 5) <input type="text"/>	INVESTMENT TYPE (Please tick any one)	MODE OF HOLDING
	<input type="checkbox"/> LUMP SUM <input type="checkbox"/> SIP WITH CHEQUE <input type="checkbox"/> LUMP SUM WITH SIP	(In case of Demat Purchase Mode of Holding should be same as in Demat Account) <input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Anyone or Survivor (Default)

UNIT HOLDING OPTION	NSDL DP ID NO.:	Client ID:
	<input type="checkbox"/> Physical Mode <input type="checkbox"/> Demat Mode	CDSL Beneficiary A/C No.
Please Note - Please attach copy of Client Master List. Demat Account detail of first / sole holder (Name should be as demat account)		

1 APPLICANT INFORMATION (Mandatory) TO BE FILLED IN BLOCK LETTERS* APPLICANTS FROM CANADA WILL NOT BE ACCEPTED (Refer Instruction No.II)

NAME OF SOLE /1ST APPLICANT Mr. Ms. M/s.

PAN CKYC No. Date of Birth D D M M Y Y Y Y

Mobile No. Email ID

I/We hereby declare that the email address and the mobile number provided on the application form belongs to (Please tick (✓) any one from the below options) -

Self Spouse My dependents My Childrens

Please note: In the event that the mobile number or the email id provided herein above does not appear to be that of the unit holder's, then the AMC shall send suitable communication in this regard to the unit holder.

GUARDIAN DETAILS (In case First / Sole Applicant is minor) / CONTACT PERSON - DESIGNATION / POA HOLDER (In case of Non-Individual Investors)

Mr. Ms. M/s.

Relationship with Minor/Designation

PAN Date of Birth D D M M Y Y Y Y CKYC No.

Address

CITY

STATE COUNTRY PIN

RESI. OFF. FAX

SECOND APPLICANT Mr. Ms. M/s.

Date of Birth D D M M Y Y Y Y

PAN CKYC No. Mobile No.

THIRD APPLICANT Mr. Ms. M/s.

Date of Birth D D M M Y Y Y Y

PAN CKYC No. Mobile No.



ACKNOWLEDGEMENT SLIP

To be filled in by the investor

Received from: Mr. / Ms. / M/s. _____ an application for allotment
Scheme **Edelweiss US Technology Equity Fund of Fund** Plan : Direct plan Regular Plan Option : **Growth**
vide Cheque No. _____ Dated ___/___/____ Amount (₹) _____ Drawn on
Bank and Branch _____

Application No:
No:

Collection Center's Stamp & Receipt Date and Time

Please note: All purchases are subject to realization of cheques and as per applicable load structure (please refer Scheme Information Document)

TAX STATUS (Applicable for First / Sole Applicant)

Resident Individual Fils NRI - NRO HUF Club / Society PIO Body Corporate Minor Government Body Trust NRI - NRE
 Bank & FI Sole Proprietor Partnership Firm QFI Provident Fund Others _____

MANDATORY PROOF OF DATE OF BIRTH FOR MINORS (ANY ONE) & Relationship Proof

BIRTH CERTIFICATE MARKSHEET (HSC/ICSE/CBSE) SCHOOL LEAVING CERTIFICATE PASSPORT OTHERS _____

OVERSEAS APPLICANT DETAILS

ADDRESS (Mandatory for NRI/FII applicant*) _____
 Country _____ Zip Code _____ For NRI applicants Indian Overseas

E-MAIL COMMUNICATION [Please ✓]

Default communication mode is through 'email'. If email address is not provided then please 'Opt-in' to receive below documents in physical copy by ticking the option below:
 Annual Report Abridged Annual Report Other Statutory Information

2 KYC DETAILS (Mandatory - Refer Instruction No X for details)

OCCUPATION (Please tick ✓)

First Applicant	<input type="checkbox"/> Business <input type="checkbox"/> Bureaucrat	<input type="checkbox"/> Service <input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Professional <input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Body Corporate	<input type="checkbox"/> Housewife <input type="checkbox"/> Listed Company	<input type="checkbox"/> Student <input type="checkbox"/> Others _____	<input type="checkbox"/> Defence
Second Applicant	<input type="checkbox"/> Business <input type="checkbox"/> Bureaucrat	<input type="checkbox"/> Service <input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Professional <input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Body Corporate	<input type="checkbox"/> Housewife <input type="checkbox"/> Listed Company	<input type="checkbox"/> Student <input type="checkbox"/> Others _____	<input type="checkbox"/> Defence
Third Applicant	<input type="checkbox"/> Business <input type="checkbox"/> Bureaucrat	<input type="checkbox"/> Service <input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Professional <input type="checkbox"/> Unlisted Company	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Body Corporate	<input type="checkbox"/> Housewife <input type="checkbox"/> Listed Company	<input type="checkbox"/> Student <input type="checkbox"/> Others _____	<input type="checkbox"/> Defence

GROSS ANNUAL INCOME (Please tick ✓)

First Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore	Net worth (Mandatory for Non - Individuals) ₹ _____ as on DDMMYYYY [Not older than 1 year]
Second Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore OR Net Worth _____	
Third Applicant	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore OR Net Worth _____	

For Individuals				For Non-Individual Investors (Companies, Trust, Partnership etc.)	
	I am Politically Exposed Person	I am Related to Politically Exposed Person	Not Applicable		
Sole/First Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company : (If No, please attach mandatory UBO Declaration)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Second Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Foreign Exchange / Money Charger Services	<input type="checkbox"/> Yes <input type="checkbox"/> No
Third Applicant	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Gaming / Gambling / Lottery / Casino Services	<input type="checkbox"/> Yes <input type="checkbox"/> No
				Money Lending / Pawning	<input type="checkbox"/> Yes <input type="checkbox"/> No

3 FATCA/CRS DETAILS Non Individual Investors should mandatory fill separate FATCA/CRS details form

(Refer Instruction No.XVII)

Sole / First Applicant / Guardian			2nd Applicant			<input type="checkbox"/> 3rd Applicant <input type="checkbox"/> POA		
Place & Country of Birth : _____ / _____			Place & Country of Birth : _____ / _____			Place & Country of Birth : _____ / _____		
#Please indicate all countries, other than India, in which you are a resident for tax purpose, associated Taxpayer Identification Number & it's Identification type e.g: TIN etc.								
Country #	Tax Payer Ref ID No	Identification Type [TIN or other, please specify]	Country #	Tax Payer Ref ID No	Identification Type [TIN or other, please specify]	Country #	Tax Payer Ref ID No	Identification Type [TIN or other, please specify]
1.			1.			1.		
2.			2.			2.		
3.			3.			3.		

4 POWER OF ATTORNEY (POA) If investment is being made by a Constitutional Attorney, please submit notarised copy of POA

POA NAME Mr. Ms. M/s. _____ PAN _____

5 NOMINATION DETAILS*

I/We hereby nominate the under mentioned nominee to receive the amounts to my/our credit in event of my/our death. I/We also understand that all payments and settlements made to such Nominee shall be valid discharge by the AMC/Mutual Fund/Trustee Company.

Name of Nominee	Date of Birth (If Nominee is minor)	Allocation (%)	Name of Legal Guardian/Parent (If Nominee is minor)	Relationship with Nominee	Address of Nominee/ Legal Guardian

CHECKLIST Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory / Notary Public)

Documents	Individual	Companies	Societies	Partnership Firms	Investment through POA	Trusts	NRI	Fils	PIO
Resolution/ Authorisation to invest		✓	✓	✓		✓		✓	
List of authorised signatories with specimen signatures		✓	✓	✓	✓	✓		✓	
Memorandum & Articles of Association		✓							
Trust Deed						✓			
Bye-laws			✓						
Partnership Deed				✓					
Overseas Auditor Certificate									✓
Notarised POA					✓				
Proof of Address									✓
Copy of PAN Card / PEKRN	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓
PIO Card									✓
Foreign Inward Remittance Certificate							✓		✓

Scheme Name: Edelweiss US Technology Equity Fund of Fund	Plan [Please <input checked="" type="checkbox"/>] <input type="checkbox"/> Direct Plan <input type="checkbox"/> Regular Plan	Option : Growth
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7 BANK ACCOUNT DETAILS (Refer Instruction No.IV)

Account No. <input style="width:90%;" type="text"/>	Account Type [Please <input checked="" type="checkbox"/>] <input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR
Bank Name <input style="width:98%;" type="text"/>	
Branch Add. <input style="width:98%;" type="text"/>	
Pin <input style="width:10%;" type="text"/>	IFSC CODE <input style="width:30%;" type="text"/>
MICR CODE <input style="width:30%;" type="text"/>	

8 PAYMENT DETAILS

Mode of Payment [Please <input checked="" type="checkbox"/>] <input type="checkbox"/> RTGS/NEFT/Fund Transfer <input type="checkbox"/> Demand Draft <input type="checkbox"/> Cheque <input type="checkbox"/> One time Mandate (OTM already registered)	
Cheque No. <input style="width:10%;" type="text"/>	Date <input style="width:15%;" type="text"/>
Gross Amount (₹) <input style="width:30%;" type="text"/>	
Net Amount (₹) <input style="width:30%;" type="text"/>	
DD Charges (₹) <input style="width:30%;" type="text"/>	
Bank Details: <input type="checkbox"/> Same as above (Please tick <input checked="" type="checkbox"/> if yes) <input type="checkbox"/> Different from above (Please tick <input checked="" type="checkbox"/> if it is different from above and fill in the details below)	
Bank/Branch & City <input style="width:98%;" type="text"/>	
Account No. <input style="width:40%;" type="text"/>	Account Type [Please <input checked="" type="checkbox"/>] <input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR

Please note that the OTM can be selected as mode of payment provided OTM is already registered. In case OTM is not registered please submit the filled in standalone OTM form to make future transaction through OTM.

9 DECLARATION AND SIGNATURE(S)

Having read and understood the contents of the Scheme Information Document of the Scheme and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to the Trustee of Edelweiss Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme(s) and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I/We agree that in case my/our investment in the Scheme(s) is equal to or more than 25% of the corpus of the Scheme, then Edelweiss Asset Management Ltd., Investment Manager to the Edelweiss Mutual Fund, has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We hereby authorise Edelweiss Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / Edelweiss Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We hereby authorize you to disclose, share, remit in any form, mode or manner, all/ any of the information provided by me/ us, including all changes, update to such information as and when provided by me/ us to Edelweiss Mutual Fund/ Edelweiss Asset Management Limited to any Indian or foreign governmental or statutory or judicial authorities/ agencies, the tax/ revenue authority and other investigation agencies without obligation on advising me/ us of the same. I/We authorise Edelweiss Mutual Fund to reject the application, revert the units credited/redeem units created at applicable NAV, restrain me/us from making any further investment in any of the Schemes of the fund, recover/debit my/our folios(s) with the penal interest and take any appropriate action against me/us in case the cheque(s)/payment instrument is/are returned by my/our banker for any reason whatsoever. I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with PMLA/KYC/FATCA norms. I/We hereby, further agree that the Fund can directly credit all the dividend payouts and redemption amount to my bank details given above. I/We hereby declare that the particulars stated above are correct.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them.

Applicable to investors who have not opted for nomination facility. I/We hereby confirm that it is my/our informed decision not to avail the nomination facility offered by Edelweiss Mutual Fund.

I / We confirm that I am/We are not resident(s) of Canada under the laws of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme(s).

I/We hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made there under, for (i) collecting, storing and usage (ii) validating/authenticating and Updating my/our Aadhaar numbers(s) in accordance with the Aadhaar Act, 2016 (and regulations made there under) and PMLA. I/We hereby provide my/our consent for sharing/disclose of the Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent(RTA) for the purpose of updating the same in my/our folios with my/our PAN.

Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (Including amount of Additional Purchase Transaction made in future)

Repatriation Non Repatriation

Applicable if resident / citizen of a member state of European Union protected under GDPR

I / We, resident/citizen of a member state of European Union protected under GDPR, acknowledge that I have read and understood the Privacy Statement of Edelweiss and all its subsidiaries and associates in India and overseas (collectively referred to as Edelweiss Group) setting out the collection, processing, use and disclosure of personal data for the purposes explained therein and available on www.edelweissfin.com. Please see the tick marks in the relevant boxes below that will apply to me:

- 1) I provide my express consent to Edelweiss Group for the collection, processing, use and/or disclosure of my personal data / information by it for the purposes set out in its Privacy Statement. YES NO
- 2) I wish to receive marketing information from Edelweiss Group (*) YES NO
- 3) I would like to receive information about the services which may be provided by Edelweiss Group, including (but not limited to) offers, promotions and information about new goods and services, via (*) Newsletter Email Text message Telephone call Not interested

SIGNATURE (s)		
SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT

DATE : ___ / ___ / ___ PLACE : _____

INSTRUCTIONS TO INVESTORS FOR FILLING UP THE NFO FORM

I. GENERAL INSTRUCTIONS

1. Please read the Key Information Memorandum, Scheme Information Document (SID) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing. In the SID your attention is particularly drawn to the risk factors of investing in the Scheme and also the sections "Who can't invest" and "Important note on Anti Money Laundering, KYC & investor protection".
2. Applications from residents of Canada will not be accepted.
3. All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
4. Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
5. Please strike out any section that is not applicable. Correction/Cancellation on any of the mandatory information should be countersigned by the investor.

II. APPLICANT INFORMATION

1. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account or as it appears in the incorporation document as the case may be.
2. Name, Date of birth of the Minor, Name of Parent/Legal Guardian and relationship with minor is mandatory for investment on behalf of Minor applicant.
3. Name of the Contact Person, email and Telephone No. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
4. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H.U.F., the Karta should sign on behalf of the H.U.F.
5. The designated Investor Service Center/ Collection Center will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the Application. No separate receipt will be issued for the application money.
6. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center/Collection Center. Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected
7. Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
8. Direct application - Investors are requested to mention the correct distributor Code in the Application Form. In case, the investor is directly applying, then they should clearly mention "DIRECT" in the column mentioned Name and Distributor Code, in all such cases where applications are not routed through any distributor/agent/broker. In cases where unit holder uses a pre-printed Broker Code, unit holder should cancel the ARN No/ Broker Code, write "DIRECT" in the said column and it should also be counter signed by the First unit holder.
9. In case of NRI investment, complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/ FIIs should necessarily state their overseas address failing which application may be rejected. In addition, Indian address should be stated for correspondence.
10. Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.
11. Some additional details are required for validating your identity for certain transactions / Communications. Hence please fill the parent's name in case of first applicant and date of birth of all unit holders.
12. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly.
13. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all dividend/redemption will be paid to the first named holder.

III. EMAIL COMMUNICATION

Account Statement will be sent to Unit holders by Post /Courier for NFO/1st time investment in any of the Scheme. Subsequent Account Statements/Newsletters / Annual Reports / Other statutory information (as permitted under SEBI (Mutual Funds) Regulations, 1996) will be sent to each Unit holder by e-mail. Investors are requested to provide their e-mail address for the same and this will also help us resolve your queries more promptly. Unitholders who have provided email id will be sent all communications/reports as mentioned above by email only and no physical communications will be sent. Any change in the e-mail address should be communicated to nearest designated Investor Services. EMF/Registrars are not responsible for e-mail not reaching the investor and for all consequences thereof. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the AMC will arrange for the same through physical mode on receipt of request for the same. It is deemed that the Unitholder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

IV. BANK ACCOUNT DETAILS

It is mandatory to attach cancelled original cheque / self certified copy of blank cheque / self certified Bank Statement / first page of the Bank Pass book (bearing account number and first unit holder name on the face of the cheque/ Bank Pass Book/ Bank Statement) is required as an incremental additional document in case of: a. Registration of the investor's Bank Mandate at the time of investment b. Subsequent change in the investor's Bank Mandate.

SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and bank Account Number in their Investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank account please fill separate Form for Registering/ Adding Multiple Bank Accounts. Individuals / HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts. For further information please refer SAI.

V. PAN DETAILS

It is mandatory for all investors to quote their Permanent Account Number (PAN) (except MICRO SIP Investments) and submit certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission; however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors.

Investment through Systematic Investment Plans (SIPs) upto ₹50,000/- (aggregate of installments in a rolling 12 months period or in a financial year i.e. April – March) per year per investor shall be exempt from the requirement of PAN. In case of PAN Exempt cases PAN Exempt KYC Reference Number (PEKRN) is Mandatory.

VI. INVESTMENT DETAILS

Investors should indicate the Option for which the application is made. In case Investors wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where option/ sub-option for investment is not selected the default option/ Sub option as prescribed in SID will be applicable.

Investors have the option to sweep their dividend in any other Open-ended Scheme of the Fund at the applicable NAV based prices, irrespective of minimum application amount and eligibility requirements of the scheme in which such dividend is being invested.

If the scheme name on the application form and on the payment instrument are different, the application will be processed and units allotted at applicable NAV of the scheme mentioned in the application / transaction slip duly signed by investor(s).

VII. MODE OF PAYMENT

1. As per AMFI best practice guidelines on 'Risk mitigation process against third party cheques in mutual fund subscriptions', Edelweiss Mutual Fund shall not accept applications for subscriptions with third party payment instruments with effect from November 15, 2010. For further information please refer SAI.
2. Investors may make payment by cheque payable locally in the city where the application form is submitted at AMC/Karvy ISC's or electronic mode such as RTGS/NEFT directly to Mutual Fund Collection account
3. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house. Cheque drawn on the bank not participating in the clearing house will not be accepted.
4. Payment through Stock invest, outstation cheques and cash will not be accepted.
5. The cheque should be drawn in favor of 'Edelweiss US Technology Equity Fund of Fund' and should be crossed 'Account Payee Only'.
6. Returned cheques will not be presented again for collection and the accompanying application will be rejected.
7. Single cheque for investments in multiple Schemes and multiple cheques for investments in Single Scheme will not be accepted.
8. If the dividend amount under Dividend payout option is less than or equal to ₹ 250/-, the same will be reinvested. (Except in case of Edelweiss Long Term Equity Fund (Tax Savings) and Edelweiss Tax Advantage Fund)
9. In case of investment through electronic mode (RTGS/ Transfer letter), you are requested to contact the nearest AMC/ Karvy ISC for the Bank Account Number to which the purchase/additional purchase amount is to be credited.
10. NRI / FII's
Repatriation basis: - Payments by NRIs/FIIs may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located.
Non-Repatriation basis:- NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.
11. In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), need to provide the bank acknowledgement copy along with purchase application.

VIII. PAYMENT OF REDEMPTION /DIVIDEND

Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, account type and account no.) in the application form for electronic fund transfer (EFT) of dividend / redemption amount to the unit holders bank account. AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

a. The 11 digit IFSC (Indian Financial System) Code b. The 9-digit MICR (Magnetic Ink Character Recognition) number appearing next to the cheque number in the cheque leaf (Please attach copy of the cancelled cheque for verification) Based on the above information AMC will enable secure transfer of your redemption and dividend payouts via the various electronic mode of transfers (RTGS / NEFT / Direct Credit mode that are available in the banking system).

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders residing at locations where this facility is not available.

"If the remittance is delayed or not affected for reasons of incomplete or incorrect information, AMC cannot be held responsible". For validation of IFSC/MICR code, investor to attach the cancelled cheque/copy of cheque (PSU banks account holders to provide the front page of pass book along with cheque copy). If these documents are not provided the fund will not be responsible consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

IX. NOMINATION DETAILS

Applicants applying for Units singly/jointly can make a nomination at the time of initial investment or during subsequent investments.

1. The nomination can be made only by individuals applying for /holding units on their own singly or jointly. Non-individuals including society, trust (other than a religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. W.e.f. April 1, 2011, nomination is not allowed in a folio held on behalf of a minor. All holders will have to sign request for nomination or cancellation of nomination, even if the mode of holding is not joint. Nomination cannot be signed by Power of Attorney (PoA) holders.
2. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
3. A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
4. Nomination in respect of the units stands rescinded upon the redemption/ transfer/ transmission of units.
5. Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.
6. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
7. Nomination shall maintained at the folio / account level and shall be applicable for all schemes in the folio / account.
8. A Nominee cannot be a resident of Canada

X. PREVENTION OF MONEY LAUNDERING AND KNOW YOUR CUSTOMER (KYC)

According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002', Mutual Funds are required to follow enhanced know your customer (KYC) norms. Further, SEBI has also notified SEBI (KYC Registration Agency) Regulations, 2011 on December 23, 2011 with a view to bring uniformity in KYC requirements for the securities market and to develop a mechanism for centralization of the KYC records. Accordingly the following procedures shall apply:

- SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc. New Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including IPV with any SEBI registered intermediaries including mutual

INSTRUCTIONS TO INVESTORS FOR FILLING UP THE NFO FORM

funds. The KYC Application Forms are also available on our website www.edelweissmf.com.

- The Fund shall perform the initial KYC of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors in line with the aforementioned circulars/circulars issued by SEBI in this regard from time to time. The Fund shall upload the details of the investors on the system of the KYC Registration Agency ("KRA"). The Registrar & Transfer Agent of the Fund viz. KFin Technologies Private Limited ("Karvy") may also undertake the KYC of the investors on behalf of the Fund. On receipt of the KYC documents from the Fund, the KRA shall send a letter to the investor within SEBI stipulated timelines, confirming the details thereof.
 - Once the investor has done KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary but can submit the letter/acknowledgment issued by the KRA.
 - It is mandatory for intermediaries including mutual funds to carry out IPV of its new investors. The IPV carried out by any SEBI registered intermediary can be relied upon by the Fund. Edelweiss Asset Management Limited and NISM/AMFI certified distributors who are Know Your Distributor (KYD) compliant are authorized to undertake the IPV for mutual fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.
 - Existing KYC compliant investors of the Fund can continue to invest as per the current practice. However, existing investors are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.
 - Application Form not accompanied by KYC Application Form or letter/acknowledgment issued by KRA may be rejected by the Fund. The KYC compliance status will be validated with the records of the KRA. AMC reserves the right to call for any additional information from the investors/applicant/reject applications/subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulation from time to time.
- XI. PURCHASE/REDEMPTION OF UNITS THROUGH STOCK EXCHANGE INFRASTRUCTURE**
Investors can purchase and redeem units on Mutual Fund Services System (MFSS) of the National Stock Exchange of India Ltd. (NSE) and on the BSE Stock Exchange Platform for Allotment and Repurchase of Mutual Funds (BSE StAR MF System) of Bombay Stock Exchange Ltd. (BSE).
Please refer Scheme Information Document(s) of the Scheme(s) for further details.
- XII. INVESTMENTS UNDER THE ZERO BALANCE FOLIO**
For Investments under the zero balance folio, signatures on the transaction slip would be required as per the mode of holding.
- XIII. TRANSACTION CHARGE IN RESPECT OF APPLICATIONS ROUTED THROUGH DISTRIBUTORS/BROKERS:**
In terms of SEBI circular no. CIR/IMD/DF/13/2011 dated August 22, 2011, as amended from time to time, Transaction Charge per subscription of ₹10,000/- and above shall be charged to the investors w.e.f. November 1, 2011 and paid to the distributors/brokers (who have opted in for transaction charges) in respect of applications relating to new subscriptions only (lumpsum and SIP), subject to the following:
- For existing mutual fund investors: ₹ 100/- per subscription of ₹10,000/- and above;
 - For the first time mutual fund investors: ₹ 150/- per subscription of ₹10,000/- and above;
 - In case of SIPs, transaction charge shall be applicable only if the total commitment through SIP amounts to ₹ 10,000/- and above. In such cases the transaction charge would be recovered in 4 installments, starting from the 2nd to 5th instalment.
 - There shall be no transaction charge on subscription of below ₹10,000/-.
 - There shall be no transaction charge on transactions other than purchases/subscriptions relating to new inflows.
 - There shall be no transaction charge on direct investments.
 - There shall be no transaction charge on subscriptions carried out through the Stock Exchange Platform.
- In accordance with SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, distributors shall also have an option either to opt in or opt out of levying transaction charge based on type of the product.
The Transaction Charge as mentioned above shall be deducted by the AMC from the subscription amount of the unitholder and paid to the distributor and the balance shall be invested.
- XIV.** Investors already holding a folio in Edelweiss Mutual Fund can provide their existing Folio Number and Name of applicants(s) corresponding to the said folio. It is the responsibility of the Investor to ensure correctness of such details provided. The personal details and Bank Account details as registered in the existing folio number as provided would apply to the said investment and the registered details would prevail over any conflicting information furnished in this form. Edelweiss Asset Management Limited reserves the right to assign any of the existing Folio Number of the investor against multiple applications and / or subsequent purchases under this new application form lodged, with identical mode of holding and address and such other criteria and integrity checks as may be determined by the Edelweiss Asset Management Limited from time to time.
- XV. Employee Unique Identification Number (EUID):**
SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of Mutual Fund products to quote the EUID obtained by him/her from AMFI in the Application Form. EUID, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Mutual Fund products are also required to obtain and quote EUID in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUID is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUID box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUID of the Sales Person (if any) in the EUID space.

XVI. BENEFICIAL OWNERSHIP DETAILS:

Under the Prevention of Money Laundering Act, 2005 ("PMLA"), all intermediaries including mutual funds are required to obtain sufficient information from their clients in order to identify and verify the persons who beneficially own or control the account. SEBI circular dated January 24, 2013 on identification of Beneficial Ownership has prescribed a uniform approach to be followed for determination of beneficial owners. A 'Beneficial owner' is defined as a natural person/s who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, which includes persons who exercise ultimate effective control over a legal person or arrangement. All categories of investors except individuals, company listed on a stock exchange or majority owned subsidiary of such company, are requested to provide details about beneficial ownership in the Application Forms for all their investments. The Fund reserves the right to reject applications/restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in beneficial ownership, investors are requested to immediately update the details with the Fund/Registrar.

XVII. FATCA DETAILS:

The Foreign Account Tax Compliance Act is a United States (US) federal law, aimed at prevention of tax evasion by US Citizens and Residents ("US Persons") through use of offshore accounts. The FATCA provisions were included in the HIRE Act enacted by the US legislature. FATCA is designed to increase compliance by US taxpayers and is intended to bolster efforts to prevent tax evasion by the US taxpayers with offshore investments.

As per SEBI circular no. CIR/MIRSD/2/2014 dated June 30, 2014, the Government of India and the US have reached an agreement in substance on the terms of an Inter-Governmental Agreement ("IGA") to implement the FATCA provisions, which have become globally applicable from July 1, 2014. FATCA requires enhancement of due diligence processes by the Foreign Financial Institutions ("FFI") so as to enable identification of US reportable accounts.

The Fund/ Edelweiss Asset Management Limited ("the AMC") are likely to be classified as a FFI under the FATCA provisions, in which case the Fund /the AMC would be required, from time to time, to (i) undertake necessary due diligence process by collecting information/ documentary evidence of the US/non US status of the investors; (ii) disclose/report information as far as may be legally permitted about the holdings/investment returns pertaining to reportable accounts to the US Internal Revenue Service and/or such Indian authorities as may be specified under FATCA or other applicable laws and (iii) carry out such other activities as prescribed under the FATCA provisions, as amended from time to time.

FATCA due diligence will have to be directed at each investor/unit holder (including joint investors) and on being identified as a reportable person/specified US person, all the folios will be reported. Further, in case of folio with joint investors, the entire account value of investment portfolio will be attributable under each such reportable person. Investors/Unit holders would therefore be required to furnish such information to the Fund/AMC, from time to time, in order to comply with the reporting requirements stated in the IGA and/or circulars/guidelines issued by SEBI/AMFI in this regard.

The impact of FATCA is relevant not only at the point of on-boarding of the investors but also throughout the life cycle of the investor account / folio with the Fund. Hence investor(s) should immediately intimate the Fund/AMC, in case of any change in the FATCA related information provided by them at the time of initial subscription.

The Fund/AMC reserves the right to reject any application or compulsorily redeem the units held directly or beneficially in case the applicant/investor fails to furnish the relevant information and/or documentation or is found to be holding units in contravention of the FATCA provisions.

Investors are advised to consult their tax advisors to understand the FATCA requirements and its implications in relation to their investment.

XVIII. Central KYC Records Registry (CKYCR):

The Government of India vide their Notification dated November 26, 2015 authorised the Central Registry of Securitisation Asset Reconstruction and Security Interest of India (CERSAI) to act as and to perform the functions of the Central KYC Records Registry under the said rules, including receiving, storing, safeguarding and retrieving the KYC records under the Prevention of Money Laundering Act, 2002. SEBI required all the market intermediaries to update/upload KYC details of the new customer/investors (not KYC-KRA compliant) on CERSAI's online platform. CERSAI is a centralized repository of KYC records of customers/investors in the financial sector with uniform KYC norms and inter-usability of the KYC records across the sector with an objective to reduce the burden of producing KYC documents and getting those verified every time when the customer/investors creates a new relationship with a financial entity. Central KYC (CKYC) will store all the customer/investor information at one central server that is accessible to all the financial institutions. After opening a KYC account under the CKYC, customer/investor will get a 14-digit identification number ("KYC Number") and that the same may be quoted by the investor wanting to invest in mutual funds. Further, the Mutual Fund/AMC is required to check whether the PAN of the investor has been updated in CKYC. In case the PAN has not been updated, the Mutual Fund/AMC shall collect a self certified copy of the investor's PAN card and update/upload the same in CKYC. In case the Investor uses the old KRA KYC form for updating of any KYC information, such investor shall be required to provide additional/missing information only by using the supplementary CKYC form or fill the new "CKYC form".

